

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	CON of Serial No. 09/841,237
				Filing Date	Concurrently herewith
				First Named Inventor	Edward William ADAMS et al.
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	7725-0001.01

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
	AA	4,138,381	2/6/79	Chang et al.			
h	AB	4,504,618	3/12/85	Irvine et al.			
	AC	4,715,986	12/87	Gruning et al.			
	AD	5,110,505	5/5/92	Herron et al.			
	AE	5,587,446	12/24/96	Frechet et al.			
	AF	5,990,479	11/23/99	Weiss et al.			
	AG	6,007,845	12/99	Domb et al.			
	AH	6,048,616	4/11/00	Gallagher et al.			
	AI	6,150,459	11/21/00	Mayes et al.			4/13/99
	AJ	6,162,456	12/19/00	Dunbar et al.			9/24/92
	AK	6,319,426	11/01	Bawendi et al.			9/17/99
	AL	6,322,901	12/01	Bawendi et al.			11/13/97
	AM	6,326,144	12/01	Bawendi et al.			9/24/98
	AN	6,333,110	12/01	Barbera-Guillem			9/13/99
	AO	6,342,625	1/02	Kwetkat et al.			7/2/97
	AP	6,444,143	9/02	Bawendi et al.			5/29/01
h	AQ	6,468,808	10/02	Nie et al.			9/24/99

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	T
h	AR	WO 99/50916	10/7/99	PCT			
	AS	WO 00/17642	3/30/00	PCT			
	AT	WO 00/17655	3/30/00	PCT			
h	AU	WO 00/17656	3/30/00	PCT			
	AV	WO 00/29617	5/25/00	PCT			

OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					T
h	AW	ANTONIETTI et al. (1997), "Amphiphilic Derivatives of Poly(Acrylic Acid) as Stabilizer in Emulsion Polymerisation," <i>Macromol. Rapid Commun.</i> 18:295-302.					
	AX	BRUST et al. (1995), "Synthesis and Reactions of Functionalised Gold Nanoparticles," <i>J. Chem. Soc., Chem. Commun.</i> , pp. 1655-1656.					
	AY	CARROT et al. (1999), "Synthesis and Characterization of Nanoscopic Entities Based on Poly(Caprolactone)-Grafted Cadmium Sulfide Nanoparticles," <i>Chem. Mater.</i> 11(12):3571-3577.					
	AZ	EKIMOV et al. (1981), "Quantum Size Effect in Three-Dimensional Microscopic Semiconductor Crystals," <i>JETP Lett.</i> 34(6):345-349.					
	BA	INGRAM et al. (1997), "Poly-Hetero- $\omega$ -Functionalized Alkanethiolate-Stabilized Gold Cluster Compounds," <i>J. Am. Chem. Soc.</i> 119(39):9175-9178.					
	BB	JOHNSON et al. (1998), "Influence of a Terminal Functionality on the Physical Properties of Surfactant-Stabilized Gold Nanoparticles," <i>Langmuir</i> 14(23):6639-6647.					
h	BC	KUMAR et al. (2000), "Phase Transfer of Aqueous CdS Nanoparticles by Coordination with Octadecanethiol Molecules Present in Nonpolar Organic Solvents," <i>Langmuir</i> 16(24):9299-9302.					

Examiner Signature	H T Le	Date Considered	06/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i> 10/717288
Sheet	2	of	2	
				Attorney Docket Number 7725-0001.01

<b>OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
<i>h</i>	BD	LEFF et al. (1996), "Synthesis and Characterization of Hydrophobic, Organically-Soluble Gold Nanocrystals Functionalized with Primary Amines," <i>Langmuir</i> 12(20):4723-4730.	
	BE	LOCHHEAD et al. (1994), "An Investigation of the Mechanism by Which Hydrophobically Modified Hydrophilic Polymers Act as Primary Emulsifiers for Oil-in-Water Emulsions. 1. Poly(Acrylic Acids) and Hydroxyethyl Celluloses," <i>Colloids and Surfaces A: Physicochemical and Engineering Aspects</i> 88:27-32.	
	BF	MA et al. (1998), "Polymer Micelles from Poly(Acrylic Acid)-Graft-Polystyrene," <i>Macromolecules</i> 31(6):1773-1778.	
	BG	MOFFITT et al. (1998), "Spherical Assemblies of Semiconductor Nanoparticles in Water-Soluble Block Copolymer Aggregates," <i>Chem. Mater.</i> 10(4):1021-1028.	
	BH	PONCET-LEGRAND et al. (1999), "Rheological Behaviour of Colloidal Dispersions of Hydrophobic Particles Stabilised in Water by Amphiphilic Polyelectrolytes," <i>Colloids and Surfaces A: Physicochemical and Engineering Aspects</i> 152:251-261.	
	BI	PREMACHANDRAN et al. (1997), "The Enzymatic Synthesis of Thiol-Containing Polymers to Prepare Polymer-CdS Nanocomposites," <i>Chem. Mater.</i> 9(6):1342-1347.	
	BJ	SASTRY et al. (1998), "Facile Surface Modification of Colloidal Particles Using Bilayer Surfactant Assemblies: A New Strategy for Electrostatic Complexation in Langmuir-Blodgett Films," <i>Langmuir</i> 14(20):5921-5928.	
	BK	SCHALLER et al. (1999), "Synthesis and Properties of Hydrophobically Modified Water-Borne Polymers for Pigment Stabilization," <i>Progress in Organic Coatings</i> 35:63-67.	
	BL	SHEN et al. (1999), "Bilayer Surfactant Stabilized Magnetic Fluids: Synthesis and Interactions at Interfaces," <i>Langmuir</i> 15(2):447-453.	
	BM	SIDOROV et al. (1999), "Stabilization of Metal Nanoparticles in Aqueous Medium by Polyethyleneoxide-Polyethyleneimine Block Copolymers," <i>Journal of Colloid and Interface Science</i> 212:197-211.	
	BN	SPATZ et al. (1996), "Gold Nanoparticles in Micellar Poly(Styrene)-b-Poly(Ethylene Oxide) Films-Size and Interparticle Distance Control in Monoparticulate Films," <i>Advanced Materials</i> 8(4):337-340.	
	BO	TRIBET et al. (1997), "Stabilization of Hydrophobic Colloidal Dispersions in Water with Amphiphilic Polymers: Application to Integral Membrane Proteins," <i>Langmuir</i> 13(21):5570-5576.	
<i>h</i>	BP	WANG et al. (1999), "Synthesis of Polycarbonate-co-Poly( <i>p</i> -Ethylphenol) and CdS Nanocomposites," <i>Journal of Applied Polymer Science</i> 72:1851-1868.	

Examiner Signature	<i>HTL</i>	Date Considered	06/04
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